Canon

Risk Assessment Description: - Field Service Engineer Model Risk Assessment Risk Assessment Number: - RA-101 v3.1 FSE-MRA Originator: - Eliot Moyes Site or location: Customer & Canon Sites Authorised: - OHS Advisors Task / Area Assessed: Service & Repair of Information Persons at Risk: - Staff X Customer X Visitor Technology Equipment Date of assessment: 02/09/2025 Machinery-Types of Hazards (highlight) **Tripping** Chemical Dust and Fume **Electricity** <u>equipment</u> Slipping Noise **Temperature** Hand tools Workstation Design Lifting Plant Flammable gases Manual handling Display Screen Working at height **Transport Impact Entanglement** Confined spaces Violence Vibration Radiation Viral Stress

## Canon UK & IE Risk Matrix

Consequence

5	10	15	20	25
4	8	12	16	20
3	6	9	12	15
2	4	6	8	10
1	2	3	4	5

Likelihood

Unacceptable. 17-25

Stop activity and make immediate improvements

**Tolerable** 10-16

look to improve within specified timescale

Adequate 5-9

1-4

look to improve at next review

**Acceptable** 

no further action, but ensure controls are maintained

		<u>Original Risk</u>		Residual Risk	
<u>Activity / Process</u>	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5) Consequence (1-5) Risk Level (LXC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5) Consequence (1-5) Risk Level (LXC)	k reduced acceptable

EXAMPLE	EXAMPLE	2	2	4	EXAMPLE	1	2	2	Yes
General Workplace Walking & Working Surfaces / Stairs Factory Transport Temperature / Light / Ventilation Slips, Trips and Falls Falling Objects	For the purposes of a Model risk assessment of a field based job function it is not possible to identify hazard types which may be inherent on remote customer locations. These environmental issues cannot therefore be risk assessed, however, it is our expectation that each customer will complete their own risk assessments of their workplace and fully comply with the Health & Safety at Work Act etc. 1974.  Experience has however shown over the years since our original assessment, that this will not always be the case. It in fact, is reasonable to expect a range of adverse site / workplace situations i.e. Poor lighting or ventilation & a lack of space.	4	5	20	The risks associated with these factors can be significant, therefore our Service Representatives are directed to carry out their own 'natural' risk assessment of the general workplace escalating to management as necessary all significant hazards identified. The Service Representative is expected to continue work only where the documented safe system of work & criteria for good housekeeping can be implemented effectively & safely. Engineers are instructed to report any hazards "observed", (which may affect our installation or work methods) to a senior member of customers staff and their Service Management for necessary remedial action. If a resolution cannot be reached FSE should discontinue you work and seek advice	4	1	4	Yes
Manual Handling Harmful Substances (chemicals, dust, fumes, vapour) Hazardous Energy Sources (working near live electrical conductors & Lone working) Hot Work Use of Hand Tools Handling Sharp Parts of Machinery	Manual Handling, Use of Cleaning Agents, Handling of Toner Powder, Working on Hot Assemblies & Use of Hand Tools will naturally present some risk if not managed or properly controlled. All issued hand tools are assessed for suitability before being issued. Monitored and checked on an annual basis.	4	5	20	All Service Representatives undergo a mandatory training program which is supported by 'in field' ongoing coaching and documented best practice support material.  Competence assessment as a primary risk control measure, this is supported by documented safe systems of work and 'in field' work practice development.	3	1	3	<mark>yes</mark>

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee
Page 2 of 8

		<u>Original Risk</u>		<u>Risk</u>			sidua	l Risk	
Activity / Process	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable
		1	_					ı	
	Working near live conductors & testing hazardous voltages (for Fault Analysis Purposes) presents a risk of electrocution; whilst the need for such work is minimal and only undertaken when no alternative is available  Lone working presents a risk in that; timely aid may not be available in the event of an incident or ill health.				Hazardous work such as 'testing hazardous voltages' is not carried out in a lone situation. For all other work, suitable communication is established with the host employer and agreed prior to any identified requirement for lone work. (as described in our 'solitary working' procedures).				
Work Equipment: (working on Unguarded Machinery, able to isolate source of energy & deterioration of electrical integrity)	Failures of source energy integrity & isolation  Most of our printing systems are classified as portable appliances and as such 'isolation' is by means of removing the three-pin plug from the mains outlet. Assuming no unauthorised adaptations, isolation will present no risk. Three phase machines must be isolated by means of disconnection & by isolator with "lock off" and emergency stop facility.  Servicing electrically faulty equipment.  Electrical tools & test equipment can suffer failure and loss of integrity through constant use.	3	5	15	Engineers are equipped with and trained to use (self-testing) mains socket testers to assure correct functionality & to optimise mains power safety.  Machines are installed in accordance with laid down instructions.  With respect to continued electrical integrity; many customer / owners will have P.A.T. testing scheduled and carried out. In addition to this the representative conducts full product safety checks as appropriate before and after service work is performed. These tests are more rigorous than the standard P.A.T. regime.  Tools are regularly checked by the engineer (user). Whilst formal checks/ tests are carried out regularly & records kept in order to establish trends and remedial action.	2	2	4	ves
MANUAL HANDLING ACTIVITY (Excessive carrying distances & One handed lifting)	Walking Service Engineers at risk from carrying equipment between service calls.	3	3	9	Engineers are encouraged to minimise their personal load, i.e. tools and equipment, in order to reduce adverse physical stress and effort. Carrying equipment 'backpacks' & 'trolleys' are constantly undergoing review and development with this in mind. They are also encouraged to take full advantage of public	2	1	2	yes

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee Page 3 of 8

		<u>Original Risk</u>		<u>Risk</u>		Residual Risk			
<u>Activity / Process</u>	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable
	Increasingly – mobile Service Engineers have to walk greater distances from public parking facilities.				transport and the use of courier services for the delivery of spare parts. Sensible adherence to good practice guidelines should result in very low risk. However, a more specific assessment of the risks in this area is separately available for individuals with less than 'normal' fitness levels or with impaired abilities.  Excessive walking distances can also apply to mobile engineers in				
					such cases the minimising of personal loads as stated above are taken to apply equally, as is the introduction of 'backpacks' & 'trolleys' (bespoke carrying equipment).				
UNSATISFACTORY BODILY MOVEMENT OR POSTURES  (Holding the load at a distance from the trunk, Lifting above the shoulder or below the knee)	Mobile Service Engineers may become involved in holding a load at a distance from the trunk when loading and unloading the vehicle.	3	3	9	This should be both rare and very brief - where possible completely avoided since the drivers own management of vehicle stock can and should eliminate the need for this type of activity.	1	2	2	<mark>yes</mark>
WEIGHT AND SIZE  Too heavy Too bulky or awkward to lift	Movement of machinery and handling of large machine components.	3	4	12	Training on the special needs of any specific equipment is carried out on the product training courses which are a mandatory element of the Service Engineers training program. Where required instructions state the requirement of more than one person to handle the item (team lift activities) and any other special requirements such as detachable handles and the identifying of lifting points/correct techniques to be used.	2	2	4	Yes
<ul> <li>CONDITION OF LOAD</li> <li>Hot / Cold</li> <li>Wet / Slippery</li> <li>Dirty / Contaminated)</li> </ul>	Service Engineers do encounter, during their service work, hot, slippery and/or dirty loads, which take the form of subassemblies.	3	3	9	Risk is managed to low levels by the engineer working in accordance with their product specific training and laid down safe systems of work. As a result, hot units are allowed to cool prior to work and slippery or dirty components are (as far as is practicable) cleaned prior to handling.	1	2	2	Yes
SUITABILITY  Creates a hazard to pregnant, unhealthy or disabled persons	Movement of machinery and handling of large machine components in respect of affecting those of less than normal fitness levels.	3	4	12	Some tasks would be unsuitable for new and expectant mothers, unhealthy or disabled Service Engineers, however, these are not expected to report for normal duties if not fit to do so. Separate assessments of risk are carried out for such individuals whereupon we will endeavour to meet their needs; and or assist in the recovery of the unwell.	1	3	3	Yes

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee

Page 4 of 8

Activity / Process	Current controls  Considerations and current practice must be stated  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable
TRAINING  • Require specialised training Require lifting training	Individuals using either the wrong techniques or not understanding the need to consider their own ability.	4	4	16	Training on the special needs of any specific equipment is carried out on the product training courses which are a mandatory element of the Service Engineers training program. Where required instructions state the requirement of more than one person to handle the item (team lift activities) and any other special requirements such as detachable handles and the identifying of lifting points/correct techniques to be used.  In addition, local training & refresher is carried out – focusing on correct techniques and individuals assessment of their own ability.	2	2	6	Yes
<ul> <li>GUARDING OF MACHINERY</li> <li>Moving machinery which is not guarded adequately</li> <li>Machinery which is not fitted with adequate protection devices</li> <li>Guards, interlocks or protection devices NOT regularly maintained</li> <li>Guards easily removable or bypassed</li> <li>Guards restrict the view of operation</li> <li>Working without protective devices, e.g. for running adjustments?</li> </ul>	Risk assessment of these areas is separately catered for in our product development undertaking, however, the following considerations should be made regardless of ongoing product safety improvements.  Whilst the machines in question have operator protection in the form of fixed panels and covers, these are often removed by the Service Engineer during servicing and maintenance. There are "safety" switches to remove the risk of danger in the case of interlocked opening doors, however, our employees are supplied with safety interlock tools which override the protective switches to allow running machine adjustments.	3	4	12	Engineers are specifically trained in the safe methods & permissible circumstances for the use of override tools.  The design prohibits the unsafe reassembly of panels and covers and the Service Engineer will take all reasonable steps to assure the safety of others whilst the product is in this condition.  All guards and interlocks are routinely checked on service visits for proper functionality whether these have been overridden during the service visit or not.  In addition engineer ongoing personal development activity by supervisors ensures full implementation of risk controlling policy (i.e. the safety check regime) and Safe Systems of Work.	1	3	3	yes
<ul> <li>TEMPERATURE</li> <li>Equipment LIKELY to cause injury by burning or scalding</li> <li>CONTROLS</li> <li>Self-starting or restarting</li> <li>Controls NOT requiring deliberate action to invoke</li> </ul>	The risk of burning, residual energy after isolation and the possibility of self-initiated machine actions differ from one product to another and with the use of different technologies – therefore this assessment considers the worst case.	4	4	16	Risks are reduced to a near negligible level by product risk assessment & subsequent design developments. This risk reduction is further enhanced by continuous product / refresher training for all Service Engineers to ensure full awareness of the risks.	1	2	2	Yes

Original Risk

Residual Risk

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee Page 5 of 8

Activity / Process	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	<u>New control Measures</u> Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable
PERSONAL PROTECTIVE EQUIPMENT PARTS OF THE BODY AT RISK (Is PPE provided, Is it necessary)  Eyes Hands Skin Respiratory System	Personal injury to Service Engineers in their role of our installation, decommissioning/de-installation, maintenance and repair of Information Technology Equipment & Systems.  Has not been identified as requiring any PPE	2	2	4	Company Health & Safety guidelines for Service Engineers are clearly laid down, instructed, regularly revised, and reinforced by field coaching in order to ensure the correct use of PPE & consistent use as applicable. In CUK Workspace there is no requirement for any PPE to carry out the tasks required during normal servicing and repair of the Canon device.  The main thrust of the guidelines places responsibility upon the field based personnel to use careful cleaning techniques, and proven safe work methods to minimise any risk and use PPE as Required by customer site location but only when this does not increase the risk in the tasks you are carrying out.	1	2	2	Yes
Injury / Health Risk Type: Stabs, cuts, grazes	Sharp parts of machinery and use of hand tools.	2	3	6	Awareness training, tuition on use of correct tools and practice monitored. Cut proof gloves issued where required	2	2	4	Yes
Heat, fire  Electrical	Burns from hot parts of the machine.  Electric shock from live testing.	4	5	20	Awareness training/advised allow to cool before work and practice monitored.  Proven safe testing techniques are trained and training material doubles as a working document, and practice monitored.	2	2	4	Yes
<ul><li>Radiation</li><li>Dust, fibres</li><li>Vapour</li><li>Splashes, spurts</li></ul>	High intensity light from scanning lamps during running adjustments. Visible & invisible laser light emitted by Class 1 – 3B fully enclosed low hazard devices.  Release/escape of toner powder / Bubble jet ink.  Evaporation of alcohol based cleaning solvents.  Splashes into eyes from cleaning agents.	4	3	12	Awareness training provides precise and full information re: severity of hazard, along with best practice work methods to avoid direct exposure, and practice monitored.  Minimised by trained best practice methods and use of careful cleaning techniques & specialised cleaning equipment.  Use is restricted to avoid any possibility of respiratory irritation and audited.  Use is restricted and careful cleaning techniques are advised.	2	2	4	Yes
Repetitive Strain Injury (RSI)	The continuous use of hand tools may lead to the possibility of an RSI injury.  Due to the working procedures and the design of the modern products. The use of hand tools for extended periods is minimised	1	2	2	Not Required				Yes

Original Risk

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee

Residual Risk

		<u>Original Risk</u>				Residu		<u>Risk</u>	
<u>Activity / Process</u>	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable

Repetitive Strain Injury (RSI)	The continuous use of hand tools may lead to the possibility of an RSI injury.  Due to the working procedures and the design of the modern products. The use of hand tools for extended periods is minimised	1	2	2	Not Required		Yes

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee Page 7 of 8

				Risk		Res	sidua	l Risk	
Activity / Process	Current controls Considerations and current practice must be stated  Likelihood multiplied by Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	New control Measures  Likelihood multiplied by  Consequence = Risk Level	Likelihood (1-5)	Consequence (1-5)	Risk Level (LxC)	Risk reduced to acceptable
								<u> </u>	

Doc Ref: OHS-16-F-01 Version Number: 1 Version Date: Dec 2020 Owner: OHS Advisors Classification: R4 External Approved by: H&S Committee Page 8 of 8